

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

# 3/IDS  
Docket No.

OKI.147

In Re Application Of: **Kazuhiko Asakawa et al.**

Serial No. <b>TO BE ASSIGNED</b>	Filing Date <b>FEB. 4, 2000</b>	Examiner <b>TO BE ASSIGNED</b>	Group Art Unit <b>TO BE ASSIGNED</b>
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**Title: SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

jc688 U.S. PTO  
09/497499  
02/04/00



**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Title: **SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME**

jc608 U.S. PTO  
09/497499  
02/04/00

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
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*Signature*

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Dated: **FEB. 4, 2000**

CC: